

NOTE: Please photocopy both sides and retain for your files.

NOTICE OF INTENTION TO SOLICIT
To Appeal or Solicit for Charitable, Patriotic, or
Philanthropic Purposes in the UNINCORPORATED Portions of

Telephone:
974-7691

LOS ANGELES COUNTY
BUSINESS LICENSE COMMISSION
320 W. Temple St., Room 1165
Los Angeles, Calif. 90012

ALL QUESTIONS MUST BE ANSWERED. PLEASE TYPE OR PRINT.

(Ordinance 5860 requires that this Notice of Intention to be filed *at least 30 days prior to beginning your solicitation or advertisement for your fund-raising activity*. No advertisement or solicitation may begin until this office has issued an Information Card. "No" or "None" may be written where appropriate on this form. Additional Information may be added on separate sheets; however do not add separate sheets in lieu of answering the questions on this form.)

1. BREATHE California of Los Angeles County When organized: 04/25/1944
(Full Name of Organization) Incorporated: X
5858 Wilshire Blvd., Suite 300 YES NO
2. Los Angeles, CA 90036 (323) 935-8050
(Address: Street, City and Zip Code) (Telephone)
Enrique Chiock, President & CEO 5858 Wilshire Blvd., #300
3. BREATHE California of Los Angeles County Los Angeles, CA 90036 (323) 935-8050
(Name of Person in Charge of Appeal - Address: Zip Code) (Telephone - Daytime)

4. TO CONDUCT OR SOLICIT: General Appeal for Funds
(If only solicit funds it would be a General Appeal, if a specific event, state type of event)

5. WHERE and WHEN this fund-raising activity will be held: City & County of Los Angeles
(If specific event, exact dates)

6. Solicitation/Advertisement starts n/a 19 ; ends n/a 19
(Specific date or when issued) (Last day of specified event)

7. SPECIFIC Purpose of this Solicitation To support programs conducted by BREATHE
California of Los Angeles County to advance Clean Air and Healthy Lungs
through Research, Education and Technology.

8. ANTICIPATED Gross Goal (Before deducting expenses): \$ 2,002,272 \$ \$
(LOCAL) (STATE) (NATIONAL)

9. If this solicitation or activity is conducted on behalf of another organization, give its name and address and enclose a copy of a letter of authorization from organization(s).
N/A

10. Solicitation/Advertisement to be made by means of (indicate by checking below):
() Volunteer Solicitors () Box Office Sales () Posters () Bulletins
() Paid Solicitors () Telephone () Newspapers
(X) Personal Approach () Radio/Television (X) Mail
Other methods (specify):

11. Admission: \$ N/A Tickets N/A Invitations N/A No. Printed
SPECIFY PER PERSON ☐
PER COUPLE ☐ Numbered

Selling prices: (Ads, cookies, etc. Cost of Carnival Tickets:
Games:
Rides:

12. Itemized list of ANTICIPATED expenses to be incurred in conducting this solicitation only:

Salaries	\$ 196,895	Printing Advertisement	\$ 12,533
Solicitors		Stationery/Postage	13,815
Managers		Prizes Project Supplies	17,738
Promoters		Cost of Merchandise	
Other Serv. Fees	51,030	Refreshments/Meals	
Rents Mtg. & Trav.	4,430	Miscellaneous: Bldg. &	
Music		(Specify) Gen. Serv.	52,411
Telephone	1,930	ANTICIPATED TOTAL	\$ 350,782

13. a. 17.52% Percent (anticipated) of gross contributions for expenses (divide gross goal – Item No. 8 – into expenses – Item No. 12.)
 b. 82.98% Percent (anticipated) of gross contributions to be used as specified in application (subtract percent for expenses – 13a – from 100%).
 c. 0.00% Percent of the proceeds to be used outside of Los Angeles County and specify where it will be used. (If applies)

NOTE: PLEASE BREAK ALL PERCENTAGES DOWN TO THE NEAREST TENTH.

14. We the signers of this Notice of Intention, attach hereto copies of the following as required:

- a. Article of Inc., and/or By-Laws of this organization (BOTH if group is incorporated) *(already on file)*
 b. Names, Titles, Addresses, Telephone Nos. (daytime) and term of office for Officers of this organization *(attached)*
 c. Certified copy of resolution, if any, of this group authorizing this appeal. (None)
 d. Current Financial Statement (treasurer's report, audit, etc.) *(audit attached)*
 e. A statement of any and all agreements or understandings made or had with any agent, solicitor, promoter or manager of this solicitation, or a copy of such agreement or understanding if it is in writing. (None)
 f. Tax exemption certificate. (Only if organization is exempt) *(already on file)*

(Items a, b and d above must be submitted. If items c, e or f do not apply to your group indicate "none." If your group is tax exempt, a certificate so indicating must be submitted.)

We have read and understand the provisions of Ordinance 5860 and before authorizing any person to solicit, we will require the solicitor to read Sections 3131 to 3146 of said Ordinance.

Within 30 days after the completion of the solicitation, we will submit the "Report of Results of Activity" form to the Business License Commission indicating all receipts and expenditures of this appeal/activity.

PLEASE PRINT NAMES, THEN SIGN, TWO (2) OFFICERS MUST SIGN.

[Signature]
 Vice Chair
 (SIGNATURE AND TITLE)
 11377 W. Olympic Blvd.
 Los Angeles, CA 90064
 (COMPLETE ADDRESS)

[Signature], Secretary
 (SIGNATURE AND TITLE)
 518 1/2 Parker Ave.
 Monrovia, CA 91016
 (COMPLETE ADDRESS)

(310) 914-7992
 TELEPHONE NO. – DAYTIME

TODAY'S DATE

(626) 358-9338
 TELEPHONE NO. – DAYTIME

TODAY'S DATE

NON-COMPLIANCE WITH OR VIOLATION OF ORDINANCE 5860 IS A MISDEMEANOR PUNISHABLE BY A FINE OR IMPRISONMENT OR BOTH.

IMPORTANT REMINDER: A current list of officer's and a current financial statement or audit must be sent at least once annually to keep your file updated. Other documents are not necessary unless they have new additional information or amended changes.

Please give the name and telephone number of a person that we may contact for questions regarding the "NOTICE OF INTENTION" application.

Robert Jo

Telephone

(323) 935-8050
 Ext. 246



BREATHE
CALIFORNIA
of Los Angeles County

5858 Wilshire Blvd.

Suite 300

Los Angeles, CA 90036

PH 323.935.8050

F 323.935.1873

www.breathela.org

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COMMISSION SERVICES

2012 FEB 16 PM 12:37

LOS ANGELES COUNTY

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2011 - 2012

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Kelly K. Wu, CPA

Enrique Chiock
President & CEO

February 10, 2012

County of Los Angeles
Business License Commission
374 Kenneth Hahn Hall of Administration
500 West Temple Street
Los Angeles, California 90012

Dear Sir/Madam:

Enclosed for your review are: (1) Report of Results of Activity and a copy of the issued Information Card #738. Please note that our Printer lost the original Information Card. (2) Notice of Intention to Solicit for the calendar year 2012 with the required attachments.

Should you have any questions, please contact me at (323) 935-8050, Ext. 235, or Robert Jo, Director of Operations, at Ext. 246.

So that we can meet printing deadlines, your help in expediting the new Information Card as soon as possible will be greatly appreciated.

Thank you kindly.

Sincerely,

Enrique Chiock
President & CEO

EC:rf
Encs.



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BOARD OF DIRECTORS
2011-2012**

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13227 Orden Drive
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2600 Redondo Avenue
Long Beach, CA 90806
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LEBOWSKY, Lawrence M., Esq. **VICE CHAIR**
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PORT, Eugene A., C.I.H. **TREASURER**
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Senior Wealth Management Director
BNY Mellon Wealth Management
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Phone: (310) 551-7677

SOO HOO, Guy W., M.D.

Director, Medical Intensive Care Unit
Pulmonary & Clinical Care Section
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Los Angeles, CA 90073

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Wu, Kelly K., CPA

Senior Relationship Manager
Union Bank of California
17800 Castleton St., Suite 538
City of Industry, CA 91748

Phone: (626) 810-6544

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*** Members-at-Large of the Executive Committee**

12/2011



BREATHE
CALIFORNIA
of Los Angeles County

5858 Wilshire Blvd.
Suite 300
Los Angeles, CA 90036
PH 323.935.8050
F 323.935.1873
www.breathela.org

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2011 - 2012

March 21, 2012

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Enrique Chiock
President & CEO

Steven Afriat, President
Los Angeles County Business License Commission
374 Kenneth Hahn Hall of Administration
500 West Temple Street
Los Angeles, CA 90012

To Mr. Afriat:

In response to the March 12th letter requesting my presence at a March 28th hearing with the Business License Commission. I am writing to you to authorize the following individuals to represent me at this hearing as I will be unable to attend:

- Robert Jo, Director of Finance, Breathe California of Los Angeles County
- Dan Witzling, Director of Development & Marketing, Breathe California of Los Angeles County

Please contact me if you would require more information about the individuals authorized to represent me and our organization.

Sincerely,

Enrique Chiock

cc: Lupe Duron, Commission Staff
Vibiana Navarro, Commission Staff



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ACKNOWLEDGMENT

State of California
County of LOS ANGELES

On MARCH 21, 2012 before me, LAURENCE M. LEBOWSKY
(insert name and title of the officer)

personally appeared ENRIQUE CHOCK,
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are
subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in
his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the
person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

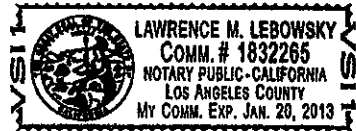
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing
paragraph is true and correct.

WITNESS my hand and official seal.

Signature



(Seal)





BUSINESS LICENSE COMMISSION

COUNTY OF LOS ANGELES

374 KENNETH HAHN HALL OF ADMINISTRATION
500 WEST TEMPLE STREET
LOS ANGELES, CA 90012
(213) 974-7691



March 12, 2012

Enrique Chiock
BREATHE California of Los Angeles County
5858 Wilshire Blvd., Suite 300
Los Angeles, CA 90036

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APPLICATION FOR NOTICE OF INTENTION TO SOLICIT AND INFORMATION CARD

Dear Applicant:

The Business License Commission will hold a hearing on the above matter on **Wednesday, March 28, 2012 at 9:00 a.m.** in Room 374-A, 500 West Temple Street, Los Angeles, CA 90012. Your presence is requested at this hearing. If you are unable to attend you may authorize a representative to appear on your behalf. The representative must present signed and duly notarized letter giving authorization and the reasons you are unable to appear.

RIGHT TO REPRESENTATION / FOREIGN LANGUAGE SPEAKERS

You have the right to be represented at this hearing by an attorney or other individual of your choosing and at your own cost. In the absence of a representative, you must represent yourself and the hearing will proceed as scheduled.

If you require a translator, you must arrange at your own cost to have present at the hearing either a **professional/certified interpreter or other person who is fluent in both English and your native language.** If you are unable to locate an interpreter, please contact our office and you will be provided a list of interpreting services.

Parking is available at your cost; a map is enclosed for your convenience. **Please note proceedings begin promptly at 9:00 a.m.** The Business License Commission reserves the right to reschedule your hearing to a later date for failure to timely appear.

Sincerely,

STEVEN AFRIAT
President

Lupe Duron
Commission Staff

Rec'd 3/15/2012